



# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

00766.000103.5

First Named Inventor or Application Identifier

KENNETH JACOBS

Express Mail Label No.

EV194362278US

21908 U.S. PTO  
10/616263  
07/08/03

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

## ADDRESS TO:

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)

2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.

3. ☒ Specification Total Pages

4. ☒ Drawing(s) (35 USC 113) Total Sheets

5. ☒ Oath or Declaration Total Pages

a. ☐ Newly executed (original or copy)

b. ☒ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)

i. ☐ **DELETION OF INVENTOR(S)**  
Signed Statement attached deleting  
inventor(s) named in the prior application, see  
37 CFR 1.63(d)(2) and 1.33(b).

6. ☒ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer  
Program (Appendix)

8. ☒ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

a. ☒ Computer Readable Form (CRF)

b. Specification Sequence Listing on:

i. ☐ CD-ROM or CD-R (2 copies); or

ii. ☒ paper

c. ☒ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))

10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)

11. ☐ English Translation Document (if applicable)

12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS  
Citations

13. ☒ Preliminary Amendment

14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)

15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)

16. ☐ Other: \_\_\_\_\_

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. 09 / 374,046

Prior application information:

Examiner Prema M. Mertz Group/Art Unit: 1646

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 18. CORRESPONDENCE ADDRESS

|   |  |          |  |  |
|---|--|----------|--|--|
| <input checked="" type="checkbox"/> Customer Number or Bar Code Label | 05514<br>(Insert Customer No. or Attach bar code label here) |          | or <input type="checkbox"/> Correspondence address below |  |
| NAME  |  |          |  |  |
| Address   |  |          |  |  |
| City  | State  | Zip Code |  |  |
| Country   | Telephone  | Fax      |  |  |



| CLAIMS | (1) FOR  | (2) NUMBER FILED | (3) NUMBER EXTRA              | (4) RATE                      | (5) CALCULATIONS |
|--------|--|------------------|-------------------------------|-------------------------------|------------------|
|        | TOTAL CLAIMS<br>(37 CFR 1.16(c))   | 11-20 =          | 0                             | X \$ 18.00 =                  | \$ 0             |
|        | INDEPENDENT<br>CLAIMS (37 CFR 1.16(b))                                     | 2-3 =            | 0                             | X \$ 84.00 =                  | \$ 0             |
|        | MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))                 |                  |                               | \$280.00 =                    | \$ 0             |
|        |  |                  |                               | BASIC FEE<br>(37 CFR 1.16(a)) | \$ 750.00        |
|        |  |                  | Total of above Calculations = |                               | \$ 750.00        |
|        | Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28). |                  |                               |                               |                  |
|        | TOTAL =  |                  |                               |                               | \$ 750.00        |
|        |  |                  |                               |                               |                  |

19. Small entity status

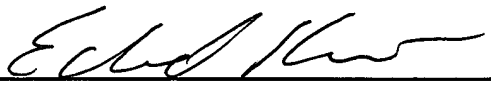
- a. ☐ A small entity statement is enclosed
- b. ☐ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
- c. ☐ Is no longer claimed.

20. ☒ A check in the amount of \$ 750.00 to cover the filing fee is enclosed.

21. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the recordal fee is enclosed.

22. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 06-1205:

- a. ☒ Fees required under 37 CFR 1.16.
- b. ☒ Fees required under 37 CFR 1.17.
- c. ☐ Fees required under 37 CFR 1.18.

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED |  |
|---|--|
| NAME  | Edward A. Kmetz (Reg. No. 42,746)  |
| SIGNATURE   |  |
| DATE  | 42,746   |



00766.000103.

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
KENNETH JACOBS, et al. )  
Application No.: 09/374,046 )  
Filed: August 13, 1999 )  
For: SECRETED PROTEINS AND )  
POLYNUCLEOTIDES )  
ENCODING THEM )  
Examiner: P. M. Mertz  
Group Art Unit: 1646  
July 8, 2003

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

"Express Mail" mailing label number EV194362278US  
Date of Deposit July 8, 2003

I hereby certify that this paper or fee is being deposited  
with the United States Postal Service "Express Mail Post  
Office to Addressee" service under 37 CFR 1.10 on this  
date indicated above and is addressed to the Commissioner  
of Patents and Trademarks, P.O. Box 1450, Alexandria,  
VA 22313-1450

Chris D. Nguyen

(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)

PETITION UNDER 37 C.F.R. § 1.136(a)

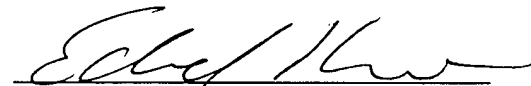
Sir:

Applicants petition the Commissioner for Patents to extend the time for  
response to the Office Action dated January 8, 2003 for three months from April 8, 2003  
to July 8, 2003.

Submitted herewith is a check for \$930.00 to cover the fee for the extension under 37 C.F.R. § 1.17. Any deficiency in or overpayment of this fee should be charged or credited to Deposit Account 06-1205. A duplicate copy of this petition is enclosed.

Applicants' undersigned attorney may be reached in our Costa Mesa, California office at (714) 540-8700. All correspondence should continue to be directed to our below-listed address.

Respectfully submitted,



Attorney for Applicants

Registration No. 42,746

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New York, New York 10112-2200  
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